

**28th Annual Risk Management Conference  
March 11 - 13, 2012  
Hyatt Regency Coconut Point Resort and Spa  
Bonita Springs, Florida**

**Sponsorship Opportunities**

Thank you for your interest in sponsoring the 28th Annual Risk Management Conference. The Chicago Board Options Exchange appreciates your support and believes that the conference offers a unique opportunity for your firm.

As a sponsor, your firm will be closely associated with a conference that has proven each year to be one of the most highly regarded events in the industry. Over the last ten years, the conference has averaged approximately 275 institutional investment professionals and last year's attendance surpassed 340 delegates. These professionals come from institutions such as foundations and endowments, pension plans, corporations, commercial banks, hedge funds, insurance companies and mutual funds. Sponsorship will give your firm the opportunity to showcase its active participation in and support of this key sector of the financial community.

Once again, we are limiting the number of sponsors to ensure high visibility and recognition for your firm throughout the conference.

Following are some of the sponsorship opportunities that may be available. Certain sponsorship opportunities are 'sold' quickly and are awarded on a first come-first served basis. When you have decided on a sponsorship please fill out the "Sponsorship Application and Agreement" form and email, mail or fax the signed agreement to:

John Angelos  
CBOE  
400 S. LaSalle Street  
Chicago, IL 60605  
Email: [angelos@cboe.com](mailto:angelos@cboe.com)  
FAX: 312-786-7374

Please feel free to call to discuss additional opportunities, if you have questions or need assistance.

Sincerely,  
John Angelos  
312-786-7063  
[angelos@cboe.com](mailto:angelos@cboe.com)

Paul Stephens  
312-786-7495  
[stephens@cboe.com](mailto:stephens@cboe.com)

Felicia Garcia  
312-786-8310  
[garciaf@cboe.com](mailto:garciaf@cboe.com)

## General RMC Sponsorship

General Conference Sponsorship benefits include:

- Two complimentary registrations for company representatives. Additional registrations for Sponsoring company employees may be available, at the discretion of CBOE, for a discounted fee of \$800 each.
- Two complimentary registrations for institutional investor clients (i.e. buy-side customer) who would otherwise be approved for the \$800 special registration fee for Institutional Asset Managers by RMC organizers.
- Up to two pieces of company literature can be placed on the general conference sponsor table or in the conference bag.
- Notice of company sponsorship included in conference marketing materials and pre-conference promotion (following confirmation of sponsorship and receipt of payment).
- A one-page profile or advertisement included in the on-site Conference agenda handbook.
- Company name included on signage at conference.
- Pre- and post-conference attendee mailing lists with contact information is provided only to Sponsors.
- Authorization from conference organizer for Sponsor to arrange with the hotel for delivery of company item to delegates' hotel room (charges for handling and delivery to be paid by Sponsor). Note: Hotel will not conduct a room delivery to RMC delegates without prior approval of the Conference Organizer.

**Cost: \$6,000**

## Exhibitor Sponsor

### ***Maximize the value of your sponsorship with an Exhibit space!***

- Exhibits are set up in the heart of the conference, outside of the main conference session rooms, adjacent to the registration and help desk.
- A maximum of 10 exhibit spaces are awarded to maximize each firms' exposure. Spaces are awarded on a first come-first served basis.
- Exhibitor Sponsors enjoy all the benefits of a general sponsor but with the benefit of 'booth space' to ensure face-to-face interaction with this highly targeted audience.

**Cost: \$8,500**

## Event Sponsorship Opportunities

Maximize your company's exposure and strengthen your brand message by using various events and amenities of the Risk Management Conference to your advantage. This allows you to keep your company's name and logo in front of all attendees before, during, and after the conference.

*Benefits listed are in addition to the benefits of General Sponsorship.*

## Conference Bags

Conference bags allow delegates to showcase your company logo throughout the conference and beyond.

Benefits:

- Delegates will display your name throughout the Conference and beyond with your name and logo co-branded on a premium RMC conference bag. This premium sponsorship is subject to CBOE acceptance.
- Notice of your company sponsorship included in all conference materials, pre-conference notices, and company link on conference website.

**Cost: \$20,000**

## Registration Badge Lanyards

Your company name will always be in sight on badge lanyards worn by delegates throughout the conference.

Benefits:

- Lanyards for the conference badges will be imprinted with your logo and/or slogan. Badges are required for admittance to all conference functions and must be worn throughout the conference.

**Cost: \$7,000**

## Hotel Room Key Cards

Have your company logo appear on one of the most important items delegates will carry—their hotel room key cards. The key cards are distributed during hotel check-in and will be carried by attendees for the duration of their stay. (Note that the cost of keycards is in addition to the sponsorship price)

Benefits:

- Your logo will be imprinted on each room keycard issued to RMC delegates
- Notice of your company sponsorship included in all conference materials, pre-conference notices, and company link on conference website (contingent upon timely confirmation of sponsorship)

**Cost: \$7,000**

## Notepads or Pens

Have your company name at every delegate's fingertips during conference sessions, with your name on conference pens or notepads.

Benefits:

- Your logo will be imprinted on notepads **or** pens and placed inside each delegate's conference bag or place set during conference sessions.

**Cost: \$6,000 Pens or Notepads (pick one, or \$10,000 for both)**

## RMC Welcome Reception – Sunday evening

The RMC Welcome Reception is an outstanding opportunity to reach and interact with speakers and conference delegates. This event includes beverage and food stations. Your company can sponsor the entire event as a package, or it can be purchased along with a co-sponsor.

In addition to General Conference Sponsorship benefits, other benefits include:

- Two additional complimentary registrations for company representatives, for a total of four

- Three additional complimentary registrations for your institutional investor clients (cannot substitute for firm representatives), for a total of five
- Six-foot skirted table in conference registration/educational session area
- Beverage napkins imprinted with your company logo
- Significant signage displaying corporate logo and name
- Opportunity to distribute company-branded “give-away” during the party (at sponsor’s expense)

**Exclusive Sponsorship—\$20,000**

**Welcome Reception Specialty Drink Bar**

With a special wine bar featuring a variety of hand-picked premium wines, delegates will keep your company name on the tips of their tongues while sampling wines from the finest vineyards of California.

Benefits:

- Cocktail napkins imprinted with company logo
- Prominently displayed signage giving notice of company sponsorship
- Opportunity to distribute company-branded “give-away” of your choice (at sponsor’s expense)

**Cost: \$8,500**

**Welcome Reception Martini Bar**

Dry? Sweet? Dirty? Shaken but not stirred? Your company will help delegates wind down with whatever type of martini they desire!

Sponsorship benefits include:

- Napkins imprinted with your company logo
- Ice sculpture or ‘slide’ (arranged at additional cost)
- Prominent, unique signage giving notice of company sponsorship
- Opportunity to distribute company-branded “give-away” (shaker, glass set, etc. at sponsor’s expense)

**Cost: \$7,500**

**Welcome Reception Cigar Bar**

Hand-rolled cigars will be “made-to-order” for those delegates who wish to partake.

Benefits:

- Matchbooks imprinted with company logo
- Cigar clippers imprinted with company logo
- Prominently displayed signage giving notice of company sponsors

**Cost: \$6,500**

### **Tuesday Evening Buffet Dinner**

*Be the sponsor of this year's Tuesday evening buffet dinner! This bonus opportunity is being continued this year, based on strong attendance and success of previous year's Tuesday dinner.*

The Tuesday night dinner, following the golf tournament, will be a buffet dinner at Club 19 overlooking the Pacific Ocean at sunset. This is an ideal networking opportunity in a casual atmosphere and has become an RMC favorite.

In addition to General Conference Sponsorship benefits, other benefits include:

- Two additional complimentary registrations for your company representatives, for a total of four
- Two additional complimentary registrations for institutional investor clients (cannot substitute for firm representatives), for a total of four
- Prominently displayed signage with company name and logo
- Beverage napkins with company logo
- Opportunity to distribute company-branded "give-away" (at sponsor's expense)

**Cost: \$9,000**

### **Monday Seated Luncheon**

Treat the RMC delegates and speakers to lunch following the keynote speaker while they network and discuss the morning's events.

In addition to General Conference Sponsorship benefits, other benefits include:

- Two additional complimentary registrations for your company representatives, for a total of four
- Two additional complimentary registrations for institutional investor clients (cannot substitute for firm representatives), for a total of four
- Prominently displayed signage at luncheon giving notice of company sponsorship
- Opportunity to distribute company-branded "give-away" and other 'branding' opportunities (e.g. wine with company logo, servers to wear logo'd aprons/t-shirts or pins, etc)

**Cost: \$8,500**

### **Breakfast Buffet (Two opportunities available: Monday or Tuesday)**

Help delegates rise while your company shines first thing in the morning.

Sponsorship benefits include:

- Prominently displayed signage at continental breakfast giving notice of company sponsorship
- Notice of sponsorship included in onsite conference materials
- Opportunity to distribute your company branded coffee cup or other "give-away"
- Breakfasts will be assigned on first-come, first-served basis

**Cost: \$6,500**

### **Sunday Afternoon Coffee Break for Fundamentals Workshop Attendees**

Provide an afternoon “pick me up” for delegates who come early to learn the basics of exchange-traded derivatives.

Benefits:

- Prominently displayed signage at coffee service giving notice of your company sponsorship
- Notice of your company sponsorship included in all conference materials, pre-conference notices, and company link on conference website (contingent upon timely confirmation of sponsorship)
- Opportunity to distribute your company branded coffee cup or “give-away” of your choice.

**Cost: \$5,000**

### **Monday/Tuesday Morning and Monday Afternoon Coffee Breaks (three available)**

Everyone needs a break! Be the star of the very popular morning or afternoon coffee breaks that always includes specialty snacks for all delegates.

Sponsorship benefits include:

- Prominently displayed signage at coffee service giving notice of company sponsorship
- Notice of your company sponsorship included in all conference materials, pre-conference notices, and company link on conference website (contingent upon timely confirmation of sponsorship)
- Opportunity to distribute your company branded “give-away” (at sponsor’s expense) during these important networking events

**Cost: \$6,500 each or \$11,000 for any two, or \$15,000 for all three weekday coffee breaks!**

### **Golf Tournament – Tuesday afternoon**

Your company will be the real champion by sponsoring the golf tournament-- one of the highlights of the conference. Golf events are also available as a package for \$20,000. This sponsorship may be split between two or more sponsors.

In addition to General Conference Sponsorship benefits, other benefits include:

- Two additional complimentary registrations for your company representatives, for a total of four
- Two additional complimentary registrations for institutional investor clients who would otherwise be approved for the “Pension Plan/Consultant/End User” rate, for a total of four.
- One-page advertisement included in conference handbook
- Prominently displayed signage at golf course giving notice of company sponsorship
- Notice of your company sponsorship included in conference materials, pre-conference notices, and company link on conference website (contingent upon timely confirmation of sponsorship)
- Opportunity to distribute your company-branded “give-away” (i.e., golf balls, towels, etc. at sponsor’s expense).

**Exclusive sponsorship cost: \$12,000**

### **Golf Box Lunch**

Sponsorship benefits include:

- Box lunches will be labeled with company name and logo
- Napkins will be imprinted with company logo
- Notice of sponsorship included in onsite conference materials

**Cost: \$6,000**

### **Golf Beverage Cart**

Sponsorship benefits include:

- The always welcome Beverage Cart will feature your company name and logo
- Notice of sponsorship included in onsite conference materials

**Cost: \$6,000**

### **Individual & Team Prizes**

Sponsorship benefits include:

- American Express or Resort Pro Shop \$100 gift certificate prizes for each member of winning foursome, or company may supply own prizes of equivalent or greater value (cost of gift certificates are in addition to Sponsorship fee)
- American Express or Resort Pro Shop \$100 gift certificate prizes for each individual competition winner, or company may supply own prizes of equivalent or greater value
- Company representative will announce winners at buffet dinner
- Notice of sponsorship included in onsite conference materials

**Cost: \$5,000 (in addition to amount of awards)**

***--Continue to the next page for the Sponsorship Agreement Form***

## 2012 Risk Management Conference Sponsorship Terms and Conditions

1. Sponsorships are limited and will be accepted on a first-come, first-serve basis, at the discretion of the Conference Organizers ("CBOE").
2. General Sponsorship includes two complimentary registration passes for company representatives. Additional registrations for Sponsoring company employees may be available, at the discretion of CBOE, for a discounted fee of \$800.
3. General Sponsorship includes two complimentary registration passes for institutional investor clients of the Sponsoring firm who would otherwise be eligible and approved for the Institutional Asset Manager/End User/buy-side customer rate of \$800.
4. Application and payment must be received and approved by CBOE before your company name and logo will be included in any Risk Management Conference promotion or materials. Payment can be made by check, credit card, or authorization to debit Member Firm account at the Options Clearing Corporation.
5. Sponsorship application and payments received after certain 'due' dates may be accepted; however it is not guaranteed that the company name will be included in printed promotional materials.
6. Company name and logo will be added to Risk Management Conference website as soon as possible after application and payment are received and accepted.
7. Please complete page 2 and 3 of this form and Email, mail or fax to:

John Angelos  
CBOE  
400 S. LaSalle Street  
Chicago, IL 60605  
[angelos@cboe.com](mailto:angelos@cboe.com)  
Fax: (312) 786-7374

CBOE Contacts:

For sponsorship or conference inquiries:

John Angelos: 312-786-7063 or [angelos@cboe.com](mailto:angelos@cboe.com)

For application, billing or shipping inquiries:

Felicia Garcia: 312-786-8310 or [garciaf@cboe.com](mailto:garciaf@cboe.com)

## CBOE Risk Management Conference Sponsorship Application

I have read and accept the terms and conditions for sponsoring the 2012 Risk Management Conference. I agree to sponsor the following at the 28th Annual Risk Management Conference, March 11 - 13, 2012 at the Hyatt Regency Coconut Point Resort and Spa in Bonita Springs, Florida:

Please check all that apply:

- General Conference Sponsor (\$6,000)
- Exhibitor Sponsor (\$8,500)
- Media Sponsor (in exchange for print and internet RMC ad placement)
- Conference Bags/Premiere sponsorship, co-branded with CBOE (\$20,500)
- Registration Badge Lanyards (\$7,000)
- Notepads (\$6,000)
- Pens (\$6,000)
- Hotel Room Key Cards (\$7,000)
- Welcome Reception – Sunday evening (\$20,000)
- Welcome Reception Wine Bar (\$8,500)
- Welcome Reception Martini Bar (\$7,500)
- Welcome Reception Cigar Bar (\$6,500)
- Breakfast Buffet -Monday (\$6,500)
- Breakfast Buffet-- Tuesday (\$6,500)
- Coffee Break –Sunday Afternoon (\$5,000)
- Coffee Break –Monday Morning (\$6,500)
- Coffee Break – Monday Afternoon (\$6,500)
- Coffee Break – Tuesday Morning (\$6,500)
- Monday Seated Lunch (\$8,500)
- Tuesday Evening Buffet Dinner (\$9,000)
- Golf Tournament (\$12,000)
- Golf Box Lunch (\$6,000)
- Golf Beverage Cart (\$6,000)
- Golf Contest Prizes (\$5,000)

\_\_\_\_\_ Total

**Full payment must be received prior to company listing in any Risk Management Conference promotional materials.**

Agreed and accepted:

Agreed and accepted on behalf of RMC:

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Paul B. Stephens

\_\_\_\_\_  
Title

Director and Department Head,  
Institutional Business Development

\_\_\_\_\_  
Firm or Organization

Chicago Board Options Exchange

\_\_\_\_\_  
DATE

\_\_\_\_\_  
DATE

**Please print clearly:**

Contact Name	
Firm Name	
Firm Address	
Phone	
Fax	
Email	
Contact Name at conference	
Cell phone	
Email	

**Payment Information**

Payment Method: (Circle one)  <div style="display: flex; justify-content: space-around; text-align: center;"> <span>Visa</span> <span>MasterCard</span> <span>American Express</span> <span>Check</span> <span>Debit OCC</span> </div>
Card Number:
CCV:
Expiration date:
Name as it appears on card:

*E-Mail, Mail, or Fax completed form to:*

Felicia Garcia  
CBOE  
400 S. LaSalle Street  
5<sup>th</sup> Floor  
Chicago, IL 60605

**Email:** [garciaf@cboe.com](mailto:garciaf@cboe.com)

**FAX:** 1-312-786-7374

###